



12-11-03

2634

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor : Takanori IWAMATSU, et al.  
Serial No : 09/771,229  
Filed : January 26, 2001  
Title : TRANSMISSION APPARATUS...  
Examiner : Young Toi Tse  
Group Art Unit : 2634

#13

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December 10, 2003 **Technology Center 2600**

Director of the U.S. Patent and  
Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED PTO-1449 FORM**

SIR:

Enclosed please find a corrected PTO-1449 form for the above referenced case,  
indicating the correct **Publication Dates for four references** listed on a previously submitted  
PTO-1449 form dated December 3, 2003.

The previously submitted PTO-1449 form listed the incorrect publication dates for  
references EP 0 368 307, EP 0 249 931, EP 0 184 805 & EP 0 173 298.

Also attached are copies of the references listed in the corrected PTO-1449, indicating the  
correct publication dates.

**Any fee, due as a result of this paper may be charged on Deposit Account No.**

**50-1290.**

Respectfully submitted,

Thomas J. Bean  
Reg. No. 44,528

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Tel. (212) 940-8800  
Docket No.: FUJS 13.045A(100794-10736)



**CORRECTED PTO1449 FORM**

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Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

1449/PTO	U.S. Department of Commerce Patent and Trademark Office	Application No. : 09/771,229
		Filing Date : January 26, 2001
		First Named Inventor: T. IWAMATSU
		Group Art Unit : 2634
		Examiner Name : Y.T. TSE
		Attorney Docket No. : FUJS 13.045A

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document	Kind Code if known <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear

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**FOREIGN DOCUMENTS**

Technology Center 2000

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY/Y	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		0 368 307	EP	NEC CORPORATION	05/16/1990	
		0 249 931	EP	FUJITSU LIMITED	12/23/1987	
		0 184 805	EP	NEC CORPORATION	06/18/1986	
		0 173 298	EP	NEC CORPORATION	03/05/1986	

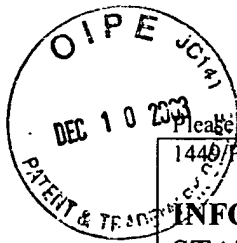
**Other Prior Art-Non Patent Literature Documents**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check here if English language translation attached
Examiner Signature		Date Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1 if possible. \* Applicant is to place a check mark here if English language Translation is attached.

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Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

1440 PTO	U.S. Department of Commerce Patent and Trademark Office	Application No. : 09/771,229
		Filing Date : January 26, 2001
		First Named Inventor: T. IWAMATSU
		Group Art Unit : 2634
		Examiner Name : Y.T. TSE
		Attorney Docket No. : FUJS 13.045A

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**STATEMENT BY APPLICANT**  
Sheet 1 of 1

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Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document	Kind Code if known <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		5,255,289		TOMITA	10/19/1993	
		4,012,591		SAILER, et al.	03/15/1977	

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**FOREIGN DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY/Y	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		3124329	DE	AEG TELEFUNKEN NACHRICHTEN	04/28/1983	
		0 368 307	EP	NEC CORPORATION	06/12/1996	
		0 249 931	EP	FUJITSU LIMITED	09/01/1993	
		0 184 805	EP	NEC CORPORATION	03/12/1991	
		0 173 298	EP	NEC CORPORATION	04/17/1991	

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} Incorrect

**Other Prior Art-Non Patent Literature Documents**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check here if English language translation attached
		SAILER, "TIMING RECOVERY IN DATA TRANSMISSIONS SYSTEMS USING MULTILEVEL PARTIAL RESPONSE SIGNALING" INTERNATIONAL CONFERENCE ON COMMUNICATIONS CONFERENCE RECORD ICC '92, JUNE 14-18, 1992: VOL. 2, JUNE 1975, Pages 24-27, XP000579359	
		"OFFSET BINARY SIMPLIFIES TIMING CONTROL IN A PRIV COMMUNICATION CHANNEL" IBM TECHNICAL DISCLOSURE BULLETIN, VOL. 33, NO. 12 MAY 1, 1991, pages 5-8, XP000121439	
Examiner Signature		Date Considered	

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